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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04860.P2654  
(maximum 12 characters)

First Named Inventor Alexander M. Rosenberg

Title: Systems and Methods for Using Media Upon Insertion into a Data Processing System

Express Mail Label No. EL 431 891 590 US

PRO  
10/28/00  
10/28/00  
10/28/00

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. \_\_\_\_\_

Applicant Claims Small Entity Status. (37 CFR 1.27)

3.  Specification (Total Pages 32)  
(preferred arrangement set forth below)  
- Descriptive Title of the Invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4.  Drawings(s) (35 USC 113) (Total Sheets 6)

5.  Oath or Declaration (Total Pages 5)

- a.  Newly Executed (Original or Copy)
- b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting  
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
  - c.  Unsigned.

6. \_\_\_\_\_

Application Data Sheet. (37 CFR 1.76)

7. \_\_\_\_\_

CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. \_\_\_\_\_

Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a.  Computer Readable Form (CRF)
- b.  Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. \_\_\_\_\_

Assignment Papers (cover sheet & documents(s))

10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
 b. Power of Attorney  
 11.  English Translation Document (if applicable)  
 12.  a. Information Disclosure Statement (IDS)/PTO-1449  
 b. Copies of IDS Citations  
 13.  Preliminary Amendment  
 14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
 15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
 16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  
 17.  Other: Copy of postcard with Express Mail Certificate of Mailing

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation       Divisional       Continuation-in-part (CIP)  
**Of Prior Application No.:** \_\_\_\_\_ **Examiner** \_\_\_\_\_ **Group Art Unit** \_\_\_\_\_

(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
 which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
 or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME James C. Scheller, Jr.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Seventh Floor

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Name (PRINT/TYPE): James C. Scheller, Jr. Registration No.: 31,195

Signature: James C. Scheller Date: 1/8/2005 jcs

JC903 U.S. PTO  
09/757406  
01/08/01

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 431891590 US  
Date of Deposit: JANUARY 8, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

CONNIE THAYER

(Typed or printed name of person mailing paper or fee)

Connie Thayer

(Signature of person mailing paper or fee)

1-8-2001

(Date signed)

Serial/Patent No.: \*\*\* Filing/Issue Date: January 8, 2001  
Client: Apple Computer, Inc.

Title: SYSTEMS AND METHODS FOR USING MEDIA UPON INSERTION INTO A DATA PROCESSING SYSTEM

BSTZ File No.: 04860, P2654

Atty/Secty Initials: JCS/clt

Date Mailed: 1-8-2001

Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (   pgs.)  
 Appeal Brief (   pgs.) (in triplicate)  
 Application - Utility (32 pgs., with cover and abstract)  
 Application - Rule 1.53(b) Continuation (   pgs.)  
 Application - Rule 1.53(b) Divisional (   pgs.)  
 Application - Rule 1.53(b) CIP (   pgs.)  
 Application - Rule 1.53(d) CPA Transmittal (   pgs.)  
 Application - Design (   pgs.)  
 Application - PCT (   pgs.)  
 Application - Provisional (   pgs.)  
 Assignment and Cover Sheet  
 Certificate of Mailing  
 Declaration & POA (5 pgs.) unsigned  
 Disclosure Docs & Orig & Copy of Inventor Signed Later (   pgs.)  
 Drawings: 6 # of sheets includes 6 figures  
 Other: \_\_\_\_\_
- Express Mail No.: EL431891590US  Check No. 40156  
 \_\_\_\_\_ Month(s) Extension of Time Amt: \$2126.00  
 Information Disclosure Statement & PTO-149 (   pgs.)  Check No. \_\_\_\_\_  
 Issue Fee Transmittal Amt: \_\_\_\_\_  
 Notice of Appeal  
 Petition for Extension of Time  
 Petition for \_\_\_\_\_  
 Postcard  
 Power of Attorney (   pgs.)  
 Preliminary Amendment (   pgs.)  
 Reply Brief (   pgs.)  
 Response to Notice of Missing Parts  
 Small Entity Declaration for Indep. Inventor/Small Business  
 Transmittal Letter, in duplicate 3 pgs  
 Fee Transmittal, in duplicate 2 pgs

**FEE TRANSMITTAL FOR FY 2001**TOTAL AMOUNT OF PAYMENT (\$) 2,126.00

## Complete if Known:

Application No. \_\_\_\_\_

Filing Date January 8, 2001First Named Inventor Alexander M. Rosenberg

Group Art Unit \_\_\_\_\_

Examiner Name \_\_\_\_\_

Attorney Docket No. 04860.P2654**METHOD OF PAYMENT** (check one)

- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Payment Enclosed:

 Check Money Order Other**FEE CALCULATION****1. BASIC FILING FEE**Large Entity    Small Entity

Fee Code	Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	Utility application filing fee	\$710.00
106	320	206	Design application filing fee	_____
107	490	207	Plant filing fee	_____
108	710	208	Reissue filing fee	_____
114	150	214	Provisional application filing fee	_____

SUBTOTAL (1)\$ 710.00**2. EXTRA CLAIM FEES**

Total Claims	- 20 =	Extra	Fee from below	Fee Paid
72	52	X	18.00	= <u>936.00</u>
Independent Claims 9	- 3 = 6	X	80.00	= <u>480.00</u>
Multiple Dependent Claims 0		X		= <u>0</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity    Small Entity

Fee Code	Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
103	18	203	Claims in excess of twenty	<u>936.</u>
102	80	202	Independent claims in excess of 3	<u>480.</u>
104	270	204	Multiple dependent claim	_____
109	80	209	Reissue independent claims over original patent	_____
110	18	210	Reissue claims in excess of 20 and over original patent	_____

SUBTOTAL (2) \$ 1416.00

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<b>Large Entity</b>		<b>Small Entity</b>			
<b>Fee Code</b>	<b>Fee (\$)</b>	<b>Fee Code</b>	<b>Fee (\$)</b>	<b>Fee Description</b>	<b>Fee Paid</b>
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee	
141	1,240	241	620	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

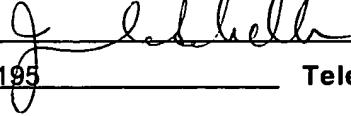
Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3)\$ 0**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: James C. Scheller, Jr.

Signature:  Date: 1/8/2001 JC

Reg. Number: 31,195 Telephone Number: (408) 720-8300